

FORM PTO-1390
REV. 5-93

DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEY'S CHECK NUMBER
P99,2666

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

09/462994

INTERNATIONAL APPLICATION NO.
PCT/DE98/01312

INTERNATIONAL FILING DATE
May 11, 1998

PRIORITY DATE CLAIMED
July 18, 1997

TITLE OF INVENTION **INTEGRATED CIRCUIT ARRANGEMENT AND METHOD FOR THE MANUFACTURE THEREOF**

APPLICANT(S) FOR DO/EO/US **UDO SCHWALKE and BURKHARD LUDWIG**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
 2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
 3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.
 4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
 5. ☒ A copy of International Application (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
 6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
 7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
 8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)) (**attached at back of English translation of application**).
 9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
 10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11. to 16. below concern other document(s) or information included:**
11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (**PTO 1449, Prior Art, Search Report**).
 12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
(SEE ATTACHED ENVELOPE)
 13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
 14. ☐ A substitute specification.
 15. ☒ A Notification of the Recording of a Change of Assignor.
 16. ☒ Other items or information:
 - a. ☒ Submission of Drawings
 - b. ☐ Request for Approval of Drawing Changes
 - c. ☐ Letter Under Rule Under 37 C.F.R. §1.41(c)
 - d. ☒ EXPRESS MAIL #EL497037130US

09/46299

514 Rec'd PCT/PTO

14 JAN 2000

17. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):**

Search Report has been prepared by the EPO or JPO \$840.00

International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) ... \$760.00

No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but
international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)) \$450.00Neither international preliminary examination fee (37 C.F.R. 1.482) nor international
search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO \$1,250.00International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all
claims satisfied provisions of PCT Article 33(2)-(4) \$98.00**ENTER APPROPRIATE BASIC FEE AMOUNT =**

CALCULATIONS

PTO USE ONLY

\$ 840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from
the earliest claimed priority date (37 C.F.R. 1.492(e)).

\$

Claims

Number Filed

Number
Extra

Rate

Total Claims

11 - 20 =

X \$ 18.00

\$ 0.00

Independent Claims

2 - 3 =

X \$ 78.00

\$ 0.00

Multiple Dependent Claims

\$270.00 +

\$ 0.00

TOTAL OF ABOVE CALCULATIONS =

\$ 840.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also
be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)

\$

SUBTOTAL =

\$ 840.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months
from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$

Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be
accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property**SEE
ATTACHED
ENVELOPE****TOTAL FEES ENCLOSED =**

\$ 840.00

Amount to be
refunded

\$

charged

\$

a. ☒ A check in the amount of \$ 840.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A
duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 08-2290. A duplicate copy of this sheet is enclosed.**NOTE:** Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must
be filed and granted to restore the application to pending status.**SEND ALL CORRESPONDENCE TO:**Hill, Steadman & Simpson
A Professional Corporation
85th Floor Sears Tower
Chicago, Illinois 60606**SIGNATURE**

Steven H. Noll

NAME

28,982

Registration Number

CERTIFICATE OF MAILING BY EXPRESS MAIL

"Express Mail" Mailing Label Number EL497037130US

Date of Deposit: January 14, 2000

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10(c) on the date indicated above and is addressed to:

BOX PCT
Commissioner of Patents and Trademarks
Washington, D.C. 20231

Case Number: P99,2666

International Application No.
PCT/DE98/01312

International Filing Date
May 11, 1998

Priority Date Claimed
July 18, 1997

Title: "INTEGRATED CIRCUIT ARRANGEMENT AND METHOD FOR THE
MANUFACTURE THEREOF"

Applicants: Udo Schwalke and Burkhard Ludwig

Enclosed are the following documents:

PTO Form 1390 in duplicate
PCT Request Form
Translation of International Application into English
Executed Declaration
Executed Assignment, Form 1595 and \$40 recording fee (in separate envelope)
Information Disclosure Statement, PTO Form 1449 and references cited
Preliminary Amendment
Submission of Drawings (2 sheets - Figs. 1-2)

Fee: \$840.00 filing fee

Postcard


Signature of person mailing document and fees